

Practitioner's Docket No. 1576.89

PTO/PCT Rec'd 04 OCT 2001

#4
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MIYAZAWA, Yasuyuki
Application No.: 09/869,458 Group No.: n/a
Filed: June 26, 2001 Examiner: n/a
For: PROCESS FOR PRODUCING ACRYLIC ACID DERIVATIVE

Box Missing Part
Assistant Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 6, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

Attached are Declaration of Inventor and Declaration of Inventor's Supervisor to clarify the name of one of the co-inventors.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING


☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Express Mail: EL 889287162 US

Date: 10/04/01

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office at (703) _____


Signature

Lia H. Costello, Legal Assistant

(type or print name of person certifying)

10/05/01

JC10 Rec'd PCT/PTO

10 4 OCT 2001



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: MIYAZAWA, Yasuyuki

Application No.: 09/869,458

Group No.: n/a

Filed: June 26, 2001

Examiner: n/a

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Box Missing Part

Assistant Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL 889287162 US

Date of Deposit: October 4, 2001

I hereby state that the following *attached* paper or fee

- Completion Of Filing Requirements - Nonprovisional Application
- Declaration of Inventor
- Declaration of Inventor's Supervisor
- New Combined Declaration and Power of Attorney
- Copy of Notification of Missing Requirements Under 35 USC 371 in the DO/EO/US
- Express Mail Certificate and Post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Lia H. Costello, Legal Assistant


Signature of person mailing paper or fee

COMPLETION FEES

III.

1. Surcharge Fees

Late payment of filing fee
and/or late filing of original
declaration or oath

(37 C.F.R. Section 1.16(e)) \$130.00

Total Completion Fees \$130.00

EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

V. The total fee due is:

Completion fees	\$130.00
Extension fee (if any)	\$0.00
Total Fee Due	\$130.00

PAYMENT OF FEES

VI. Charge Account No. 13-1992 in the amount of 130.00.

A duplicate of this request is attached.

Please charge Account No. 13-1992 for any fees that may be due by this paper.

10/11/2001 MKAYPAGH 00000093 131992 09869458

01 FC:154 130.00 CH

Adjustment date: 02/20/2002 UEDUVIJE
10/11/2001 MKAYPAGH 00000093 131992 09869458
01 FC:154 130.00 CH

02/20/2002 UEDUVIJE 00000125 131992 09869458

01 FC:122 130.00 CH

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 13-1992.

- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
- 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))
- 37 C.F.R. Section 1.17 (application processing fees)

Date: 10/4/01

Reg. No.: 40,693
Tel. No.: 727-538-3800
Customer No.: 24040


Signature of Practitioner

Dennis G. LaPointe
Mason & Associates, P.A.
17757 US Hwy 19 N., Suite 500
Clearwater, FL 33764



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/869458	MIYAZAWA	Y 1576.89

DENNIS G. LAPOINTE
MASON & ASSOCIATES
17757 US HWY 19 N.
SUITE 500
CLEARWATER, FL 33764

INTERNATIONAL APPLICATION NO.

PCT/JP99/07397

I.A. FILING DATE	PRIORITY DATE
28 DEC 99	29 DEC 98

DATE MAILED:

06 AUG 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- | | |
|--|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input checked="" type="checkbox"/> Oath or Declaration of inventors(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input checked="" type="checkbox"/> Other: THIRD INVENTOR NAME NOT THE SAME |
| <input checked="" type="checkbox"/> Priority Document. | ON I.A. SUB SHEET - PRIORITY IN CORRECT |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | IMPROPER MULTIPLE CLAIMS |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above: (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Vonda M. Wallace

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3736